

Theoretical study of quasiparticle inelastic lifetimes as applied to aluminum

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We present a comparative analysis of different microscopic approaches to quasiparticle properties in metals. Aluminum is chosen as an application object, since it exhibits characteristics many of which are well-described in the jellium model. Within this model, we consider how different levels of physical elaboration of the electron-electron interaction affect the imaginary part of the quasiparticle self-energy and the quasiparticle renormalization constant. Also, we present *ab initio* calculations of the quasiparticle lifetime in crystalline aluminum with the use of both the linear muffin-tin orbital method and the plane-wave pseudopotential theory. To complete the picture of inelastic scattering effects in aluminum, we report first-principles calculations on electron-phonon interaction and on the phonon mediated contribution to the lifetime. The total inelastic lifetime broadening is compared with experimental data known from the literature.

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I. INTRODUCTION

Quasiparticle excitations in metals play an important role in a rich variety of physical and chemical phenomena.¹ As an example, one can mention energy transfer in photochemical reactions, desorption and oxidation of molecules at surfaces, spin transport within bulk metals, across interfaces, and at surfaces. One of the key properties of quasiparticle excitations is their lifetime (inverse quasiparticle decay rate) which characterizes the duration of these excitations. Along with the quasiparticle velocity, the lifetime determines such measure of influence of the excitation as the mean free path.

The decay rate Γ_{tot} of a quasiparticle can be presented as a sum of the following contributions:

$$\Gamma_{\text{tot}} = \Gamma_{\text{e-e}} + \Gamma_{\text{e-ph}}. \quad (1)$$

Here, the first term, $\Gamma_{\text{e-e}}$, is the contribution from the inelastic electron-electron (e-e) scattering mechanism that includes several decay channels concerned with charge-density, spin-density, singlet-pair, and triplet-pair fluctuations.²⁻⁵ The second term, $\Gamma_{\text{e-ph}}$, represents the contribution from inelastic electron-phonon (e-ph) scattering. This contribution is usually considered as comparable with the electron-electron part only close to the Fermi level, within a typical phonon energy $\hbar\omega_D$ (ω_D is the Debye cutoff energy).^{6,7} However, $\Gamma_{\text{e-ph}}$ can play an important role at higher electron energies for metals with large e-ph coupling.⁸ Moreover, unlike $\Gamma_{\text{e-e}}$ which experiences very weak temperature dependence, the e-ph contribution significantly increases with temperature and can even exceed the e-e part.⁸

At present, there are many theoretical approaches to the quasiparticle decay rate which differ one from another by the level of physical elaboration of the electron-phonon and electron-electron interactions. For example, similar to the electron-phonon interaction, the electron-electron one can be considered as realized through the exchanging by a number of varieties of “bosons” (the aforementioned fluctuations) composed of electrons and holes, which themselves strongly

interact through the exchange by other bosons.³ Depending on how many varieties of these bosons are involved in the description of the e-e interaction within an approach, one obtains different results for contribution of the exchange-correlation effects to quasiparticle properties.

Basically, the mentioned difference can be studied within an application of the approaches to a given system. A comparison of the obtained results will allow one to reveal factors, which influence mainly quasiparticle properties upon moving from one approach to another. However, the majority of the approaches to $\Gamma_{\text{e-e}}$ can be effectively applied to a prototype homogeneous system only. In applying them to real metal systems, one encounters difficulties in taking properly into consideration real band-structure effects. This means that, apart from construction of a model of the e-e interaction, real materials give an additional challenge. As a result, a consistent comparison of the approaches applied to the same material is an important matter.

In this paper, we give a comparative analysis of a wide spectrum of approaches to $\Gamma_{\text{e-e}}$ as applied to aluminum. The choice of such a material is motivated by the fact that aluminum possesses the free-electron-like band structure, and therefore many properties of this simple metal can be described well within the jellium model. In support of the latter, one can mention, e.g., the Fermi energy as determined by x-ray photoemission,⁹ the plasmon dispersion and its very small anisotropy,^{10,11} and the stopping power for protons and antiprotons.¹² As a consequence, aluminum can be considered as a prototype material that allows one to test a theoretical approach to quasiparticle dynamics of jellium.

The approaches considered in this work are chosen to reflect the present state of the art of quasiparticle dynamics of metals. In order to make the paper self-sustained, we give a brief description of the approaches existing in the literature, starting from the well-known G_0W_0 approximation, passing through different methods taking into account the contribution of both charge- and spin-density fluctuations, and ending up with the so-called generalized G_0W_0 approximation. Within the description, we outline both the approxi-

mations, which consider the mentioned contributions by means of the spin-symmetric and spin-antisymmetric local-field (LF) factors, and the approaches, which are based on a variational method and do not involve quantities defined outside their scope. As a development of one of such approaches, we propose an approximation that consistently includes multiple electron-hole scattering effects both in the screening properties and in the decay rate.

As regards the phonon-induced lifetime broadening, we evaluate Γ_{e-ph} expressed in terms of the Eliashberg spectral function in the quasielastic approximation at different temperature. Apart from the fact that Γ_{e-ph} is of interest as a contribution to the quasiparticle decay rate, this lifetime broadening can play an important role in definition of the proper approach to Γ_{e-e} by comparing to available experimental data.

The paper is organized as follows: In Sec. II, we briefly describe all the considered approaches to the e-e decay rate within many-body perturbation theory. Within the jellium model, we compare them as applied to aluminum. In this section, we also present results of our first-principles calculations for the e-e quasiparticle lifetime in Al which take into account both charge- and spin-density fluctuations. Section III contains our *ab initio* results on the e-ph decay rate evaluated at different temperatures. In Sec. IV, we compare the obtained total decay rate with experimental relaxation-time data existing in the literature. The conclusions are also given in Sec. IV. Unless stated otherwise, atomic units are used throughout, i.e., $e^2 = \hbar = m = 1$.

II. ELECTRON-ELECTRON SCATTERING

Within many-body theory of condensed matter, a study of quasiparticle properties, including the electron-electron lifetime $\tau(\omega) = \Gamma_{e-e}^{-1}(\omega)$ as a function of exciting energy ω , usually rests on the solution of the well-known closed set of Hedin's coupled integral equations.¹³ These equations (see, e.g., Ref. 14) relate the Green's function of a system under the study

$$G(1,2) = G_0(1,2) + G_0(1,3)\Sigma(3,4)G(4,2) \quad (2)$$

to the quasiparticle self-energy

$$\Sigma(1,2) = iG(1,3)W(1,4)\Lambda(3,2,4) \quad (3)$$

and, through the latter, to the screened interaction

$$W(1,2) = v_c(1,2) + v_c(1,3)P(3,4)W(4,2), \quad (4)$$

which is determined by the irreducible polarizability (with the factor of 2 for spin)

$$P(1,2) = -2iG(1,3)G(4,1)\Lambda(3,4,2), \quad (5)$$

where the vertex function

$$\Lambda(1,2,3) = \delta(1-2)\delta(2-3) + \frac{\delta\Sigma(1,2)}{\delta G(4,5)}G(4,6)G(7,5)\Lambda(6,7,3). \quad (6)$$

Here, considering the paramagnetic state, the standard short-

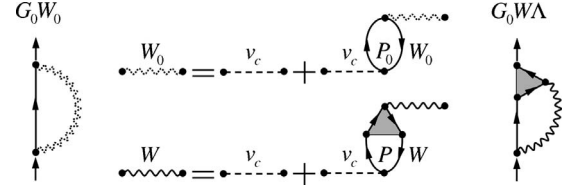


FIG. 1. A diagrammatic representation of the self-energy and the screened interaction treated in the G_0W_0 and $G_0W\Lambda$ approximations. The solid lines with arrows represent the zeroth-order Green's function G_0 . The dotted and solid wiggly lines signify the RPA screened interaction W_0 and the screened interaction with the inclusion of vertex corrections, respectively. The shaded triangles indicate the vertex Λ evaluated in the local approximation.

hand notation $1=(\mathbf{r}_1, t_1)$ for space-time coordinates is used. Repeated variables are integrated, unless they appear on both sides of the equations. In Eq. (2), the Green's function G_0 of zeroth order is built on a complete set of single-particle states at the Hartree (mean-field) level. The interaction (4) describes a dynamic *spin-independent* dielectric screening of the bare Coulomb interaction v_c between two *test* charges "nonidentical" to electrons in the Fermi sea.

According to Hedin's concept, by solving these equations iteratively, one generates the perturbation diagrammatic expansion for the self-energy, vertex function, and irreducible polarizability in terms of the screened interaction.

A. Jellium model

1. G_0W_0 approximation

Starting from $\Sigma=0$ and, consequently, $\Lambda(1,2,3) = \delta(1-2)\delta(2-3)$, the first cycle of the iterative solution of Hedin's Eqs. (2)–(6) ends up by modeling the quasiparticle self-energy as $\Sigma(1,2) = iG_0(1,2)W_0(1,2)$ with the screened interaction W_0 obtained within the random-phase approximation (RPA), where the irreducible polarizability is presented by $P_0(1,2) = -2iG_0(1,2)G_0(2,1)$. Such an expression for the self-energy constitutes the so-called G_0W_0 approximation.

Taking into consideration homogeneity of jellium, in momentum space the mentioned self-energy and irreducible polarizability can be rewritten as

$$\Sigma(k) = \frac{i}{(2\pi)^4} \int dq G_0(k-q)W_0(q), \quad (7)$$

and

$$P_0(q) = -\frac{2i}{(2\pi)^4} \int dp G_0(p-q)G_0(p), \quad (8)$$

respectively. Here and in the following, the four-momentum variable q as a shorthand for (\mathbf{q}, ω) is used. The RPA screened interaction is given by

$$W_0(q) = v_c(|\mathbf{q}|) + v_c(|\mathbf{q}|)P_0(q)W_0(q). \quad (9)$$

Feynman diagrams of the considered quantities are shown in Fig. 1.

TABLE I. Values of the parameters used in the analytical expressions [Eqs. (12)–(14)] for the spin-symmetric and spin-antisymmetric local-field factors for $r_s=2.07$ corresponding to the case of aluminum.

$Q_{\uparrow\uparrow}$	$Q_{\uparrow\downarrow}$	A	B	C	α_c	β	A_1	g_0
1.5014	3.2741	0.2679	0.8320	0.0491	0.1059	0.3794	0.1739	0.1385

2. Local-field corrections

Within the RPA at the Hartree level, we deal with the screening properties of the system of independent electrons which do not feel any effect of exchange and correlation. However, the existence of a local exchange-correlation (XC) hole around electrons in the Fermi sea leads to the reduction in the interaction between electrons, and, consequently, to changes in the system response. Therefore, to get a more realistic screening picture, within the paradigm of *linear response* and *locality of XC corrections*, the many-body local-field factors $\mathcal{G}_{\uparrow\uparrow}(q)$ and $\mathcal{G}_{\uparrow\downarrow}(q)$ are introduced.¹⁵ The factor $\mathcal{G}_{\uparrow\uparrow}(q)$ mainly accounts for the Pauli principle effect, while $\mathcal{G}_{\uparrow\downarrow}(q)$ is responsible for taking into account the Coulomb correlation between antiparallel spin electrons. As a rule, these factors are tabulated and parametrized by using quantum Monte Carlo (QMC) calculations for the homogeneous electron gas.

For paramagnetic systems, the symmetric and antisymmetric combinations, $\mathcal{G}_{\pm}(q)=[\mathcal{G}_{\uparrow\uparrow}(q)\pm\mathcal{G}_{\uparrow\downarrow}(q)]/2$, of the many-body local-field factors are introduced. The spin-symmetric \mathcal{G}_+ determines the density (charge-charge) response function^{5,15}

$$R_d(q) = \frac{P_0(q)}{1 - v_c(|\mathbf{q}|)[1 - \mathcal{G}_+(q)]P_0(q)}, \quad (10)$$

whereas \mathcal{G}_- enters the definition of the magnetic (longitudinal spin-spin) response function^{5,15}

$$R_{S_z S_z}(q) = \frac{P_0(q)}{1 + v_c(|\mathbf{q}|)\mathcal{G}_-(q)P_0(q)}. \quad (11)$$

A simple way to analytically express q dependence of the local-field factors is to cast them into energy-independent Hubbard-type forms. In this case, the aforementioned combinations can be represented as¹⁶

$$\mathcal{G}_{\pm}^{\text{IP}}(q) = \frac{1}{2} \left[\frac{|\mathbf{Q}|^2}{|\mathbf{Q}|^2 + Q_{\uparrow\uparrow}^2} \pm \frac{|\mathbf{Q}|^2}{|\mathbf{Q}|^2 + Q_{\uparrow\downarrow}^2} \right]. \quad (12)$$

Here and in the following we use the dimensionless momentum $\mathbf{Q}=\mathbf{q}/k_F$, where the Fermi wave vector $k_F=(ar_s)^{-1}$ is determined by the electron density parameter r_s given by $r_s^3=3/(4\pi n)$ [n being the electron density and $a=(4/9\pi)^{1/3}$]. The parameters $Q_{\uparrow\uparrow}$ and $Q_{\uparrow\downarrow}$ (see Table I) are such that the compressibility and susceptibility sum rules⁵ are satisfied by $\mathcal{G}_+^{\text{IP}}(q)$ and $\mathcal{G}_-^{\text{IP}}(q)$ at the small- q limit, respectively.

In Ref. 17, the following more complex analytical expression for the spin-symmetric local-field factor has been proposed:

$$\mathcal{G}_+^{\text{CDOP}}(\mathbf{Q}) = C|\mathbf{Q}|^2 + \frac{B|\mathbf{Q}|^2}{g + |\mathbf{Q}|^2} + \alpha_c|\mathbf{Q}|^4 e^{-\beta|\mathbf{Q}|^2}, \quad (13)$$

where the Hubbard-type term [with $g=B/(A-C)$] is present nevertheless. The parameters of such a representation of \mathcal{G}_+ are determined in such a way as to meet the requirements of the correct asymptotic behaviors for both small- and large- q limits and to reproduce QMC results at intermediate q values. These parameters evaluated with the use of the correlation energy of Ref. 18 are listed in Table I.

In formal analogy with Eq. (13), the spin-antisymmetric local-field factor that also behaves correctly at the small- and large- q limits can be approximated as^{5,19}

$$\mathcal{G}_-^{\text{SG}}(\mathbf{Q}) = C|\mathbf{Q}|^2 + \frac{B_1|\mathbf{Q}|^2}{g_1 + |\mathbf{Q}|^2}, \quad (14)$$

where $g_1=B_1/(A_1-C)$ and $B_1=B-1+2g_0$ with the pair correlation function at zero-interelectronic distance g_0 parameterized, e.g., by the form of Ref. 20 (see Table I).

In Fig. 2, we show the mentioned spin-symmetric and

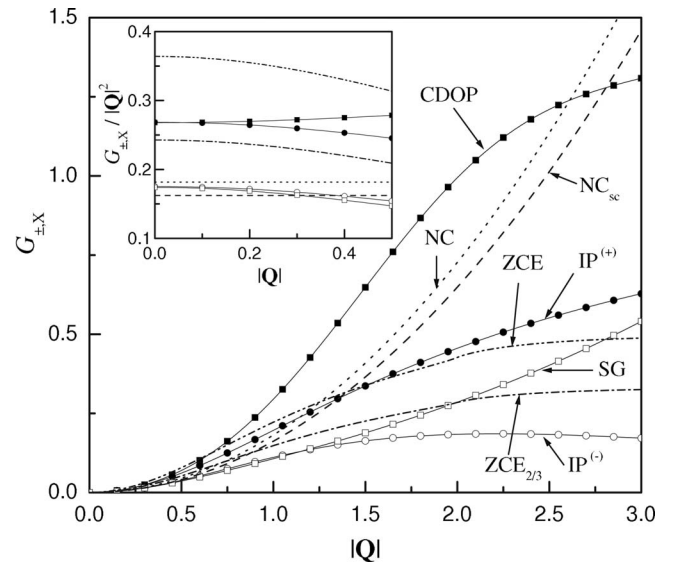


FIG. 2. The local-field factors \mathcal{G}_+ , \mathcal{G}_- , and \mathcal{G}_X as functions of momentum $|\mathbf{Q}|$ at $r_s=2.07$. Here and in the following the notation CDOP signifies \mathcal{G}_+ taken in the analytic form of Ref. 17 [Eq. (13)]. The spin-antisymmetric local-field factor taken from Ref. 19 [Eq. (14)] is marked as SG. $\text{IP}^{(+)}$ and $\text{IP}^{(-)}$ denote \mathcal{G}_+ and \mathcal{G}_- of Ref. 16 [Eq. (12)], respectively. The long-wave limit form for \mathcal{G}_X obtained in Ref. 21 is marked by NC (its self-consistent variant is denoted by the additional subscript sc). The notation ZCE signifies \mathcal{G}_X corresponding to the approach of Ref. 22 (the subscript 2/3 points out its modification done in Ref. 21). Inset: all of these local-field corrections divided by squared momentum $|\mathbf{Q}|$.

spin-antisymmetric local-field factors as functions of $|\mathbf{Q}|$. As is seen from the figure, the spin-symmetric ‘‘CDOP’’ and ‘‘IP⁽⁺⁾’’ factors (as well as the spin-antisymmetric ‘‘SG’’ and ‘‘IP⁽⁻⁾’’ ones) are rather different in their dependencies on $|\mathbf{Q}|$. Nevertheless, due to the correct small- q asymptotic behavior, both analytic expressions for \mathcal{G}_+ (or for \mathcal{G}_-) provide similar local-field corrections at small values of $|\mathbf{Q}|$ (see inset of Fig. 2). Other curves presented in the figure we discuss below.

3. G_0W approximation

The Fourier transform of the irreducible polarizability [Eq. (5)] that determines screening properties of the system leads to²³

$$P(q) = -\frac{2i}{(2\pi)^4} \int dp G(p-q)G(p)\Lambda(p,q). \quad (15)$$

The RPA irreducible polarizability [Eq. (8)] corresponds to the lowest order of the vertex function. This means that taking the exchange and correlation effects into consideration of P implies evaluating the vertex function in higher orders of the perturbation theory than it occurs in the RPA. One way to evaluate these so-called vertex corrections to P_0 is to formally reduce them to the spin-symmetric local-field factor.^{24,25} As was shown in Ref. 26, it can be done, e.g., by using a variational approach within a local approximation (see also Refs. 27–29). In such a way, one sums a set of infinite classes of diagrams that leads to the vertex function dependent on one four-momentum only, i.e.,

$$\Lambda(q) = [1 + v_c(|\mathbf{q}|)\mathcal{G}_+(q)P_0(q)]^{-1}. \quad (16)$$

This local form for Λ essentially simplifies calculations of P . Actually, with the use of the zeroth-order Green’s function, it allows one to rewrite Eq. (15) as

$$P(q) = P_0(q)\Lambda(q) \quad (17)$$

that, in turn, provides the density response function $R_d(q) = P(q) + P(q)v_c(|\mathbf{q}|)R_d(q)$ in the form of Eq. (10).

The Fourier-transformed dynamically screened interaction (see Fig. 1),

$$W(q) = v_c(|\mathbf{q}|) + v_c(|\mathbf{q}|)P(q)W(q), \quad (18)$$

is determined by the irreducible polarizability [Eq. (17)] that contains the vertex corrections [Eq. (16)] enhancing the density response. This interaction differs from that in the RPA and, when used in the G_0W_0 formula in place of W_0 , allows one to go beyond the G_0W_0 approximation. Such an approximation is denoted as the G_0W one.

4. $G_0W\Lambda$ approximation

Starting from the Fourier transform of Eq. (3),

$$\Sigma(k) = \frac{i}{(2\pi)^4} \int dq G(k-q)W(q)\Lambda(k,q), \quad (19)$$

it is natural to suppose that at the next level of complexity the same vertex corrections should be included both in the screened interaction and in the self-energy.²⁷ In this case,

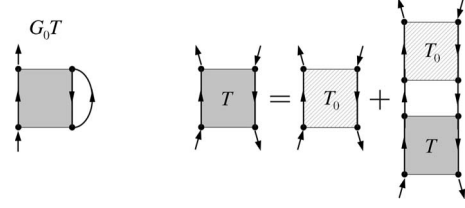


FIG. 3. Feynman diagrams of the self-energy treated as an integral over the e-h scattering amplitude T that is defined by the Bethe-Salpeter equation with the irreducible e-h vertex T_0 . As before, solid lines with arrows represent the zeroth-order Green’s function G_0 .

using the zeroth-order Green’s function, the screened interaction [Eq. (18)] with P of Eq. (17), and the vertex function [Eq. (16)], we arrive at the self-energy of the $G_0W\Lambda$ approximation (Feynman diagrams are shown in Fig. 1). The latter exploits the same G_0W_0 formula, where the resulting screened interaction $W_{e-t}(q) = W(q)\Lambda(q)$ is used instead of W_0 . This W_{e-t} is interpreted as an *electron-test charge interaction*¹⁵ in contrast to the *test charge-test charge* one, W .

On rewriting the electron-test charge interaction as $W_{e-t}(q) = v_c(|\mathbf{q}|) + v_c^2(|\mathbf{q}|)[1 - \mathcal{G}_+(q)]R_d(q)$, it becomes clear that, as well as in the G_0W_0 and G_0W approximations, quasiparticle properties are determined solely by the density response. In other words, the self-energy includes only the contribution of charge fluctuations. This means that a different expression is needed for the self-energy in order to take additionally into account the contribution of spin-density fluctuations.

5. G_0W_0 & T approximation

Owing to the correspondence between electron-hole multiple-scattering events and spin fluctuations (see, e.g., Refs. 30–33), their contribution can be included in the self-energy by treating the latter as an integral over the four-point e-h scattering amplitude^{2,3} shown in Fig. 3. A simple way to calculate the self-energy in such a manner within a study that rests on Hedin’s concept is to express the scattering amplitude T in terms of the dynamically screened interaction as a sort of T_0 [a ladder approximation, where $T_0(1,2|3,4) = W(1,2)\delta(1-3)\delta(2-4)$]. At that, to preserve all the advantages of the G_0W_0 approximation, the integral over T can be considered as an additional term to the G_0W_0 self-energy.^{22,34} In this case, in order to avoid double counting, as in Ref. 3, the scattering amplitude should start from the third order in the screened interaction.^{21,34}

Such an additional term can be regarded as vertex corrections to the G_0W_0 self-energy. As in the $G_0W\Lambda$ case, a local approximation can be developed to solve the Bethe-Salpeter equation that describes the e-h scattering amplitude. In Ref. 34, it has been done by using a variational approach yielding the amplitude T which in momentum space and in the case of a uniform system depends only on the four-momentum transfer along the electron-hole channel. As a result, the additional self-energy term [hereafter referred to as the T -matrix contribution and denoted by Σ^T] has the G_0W_0 formula (7) with W_0 replaced by the scattering amplitude

$$T(q) = \widetilde{W}(q)P_0(q)\widetilde{W}(q) \left[\frac{1}{1 + \frac{1}{2}P_0(q)\widetilde{W}(q)} - 1 \right], \quad (20)$$

where the factor of 2 for spin is implied. The local interaction

$$\widetilde{W}(q) = -2[P_0(q)]^{-1}P_1(q)[P_0(q)]^{-1} \quad (21)$$

is related to the first-order exchange diagram in the irreducible polarizability diagrammatic expansion

$$P_1(q) = -2 \int dk dp \kappa_q(k)W(k-p)\kappa_q(p), \quad (22)$$

where $\kappa_q(p) = iG_0(p-q)G_0(p)/(2\pi)^4$. Consequently, this interaction can be identified with the many-body local-field factor $\mathcal{G}_X = \widetilde{W}/2v_c$ evaluated within the first-order perturbation theory (see, e.g., Ref. 35), when the spin-symmetric and spin-antisymmetric local-field factors are equal.

At the small- q limit, the local interaction becomes q -independent and the factor \mathcal{G}_X shown in Fig. 2 as the “NC” curve demonstrates the quadratic behavior, i.e., $\mathcal{G}_X = A_X|\mathbf{Q}|^2$, where the constant $A_X = \widetilde{W}k_F^2/(8\pi)$. With the use of the RPA screened interaction W_0 in Eq. (22), at $r_s = 2.07$ the constant A_X is equal to 0.1817.

Within the presented $G_0W_0\&T$ approach, by replacing the local interaction $\widetilde{W}(q)$ by the static screened interaction $W_0(\mathbf{q}, 0)$, we can reproduce an approximation made in Ref. 22. This approximation is based on a first-principles study^{36,37} of the strength U of Hubbard’s contact interaction, where different matrix elements of the static screened interaction are analyzed. With such a local interaction, the factor \mathcal{G}_X is equal to $W_0(\mathbf{q}, 0)[2v_c(|\mathbf{q}|)]^{-1}$ (see the “ZCE” curve in Fig. 2). At the small- q limit, it leads to $\mathcal{G}_X = A_X|\mathbf{Q}|^2$ with $A_X = 0.3641$ that, as was shown in Ref. 21, overestimates the ladder diagrams contribution at $r_s = 2.07$.

6. $G_0W\Lambda\&T$ ladder approximation

Within the previous $G_0W_0\&T$ approach, which takes into account some vertex corrections to the G_0W_0 self-energy, the screening properties of the system are described within the RPA, whereas in, e.g., the $G_0W\Lambda$ approximation, one gets a more realistic screening picture. In order to eliminate this defect, we go beyond the RPA. As a development of the $G_0W_0\&T$ approach, here we propose an approximation that allows one to take into account more ladder diagrams along the line of Hedin’s expansion of both the self-energy and the irreducible polarizability.

First, note that the vertex function of Eq. (6) with the first-order functional derivation $\delta\Sigma(1, 2)/\delta G(4, 5) = W(1, 2)\delta(1-4)\delta(2-5)$ can be expressed in terms of the e-h scattering amplitude of the ladder approximation. As a result, in momentum space, with the use of the aforementioned variational solution to the Bethe-Salpeter equation for the amplitude T and with the zeroth-order Green’s function, we arrive at the vertex function $\Lambda_X(q) = [1 + v_c(|\mathbf{q}|)\mathcal{G}_X(q)P_0(q)]^{-1}$, where $\mathcal{G}_X = \widetilde{W}/2v_c$. Through Eqs. (17) and (18) the screened interaction W in Eq. (22) can include local-field corrections with the aid of the vertex function

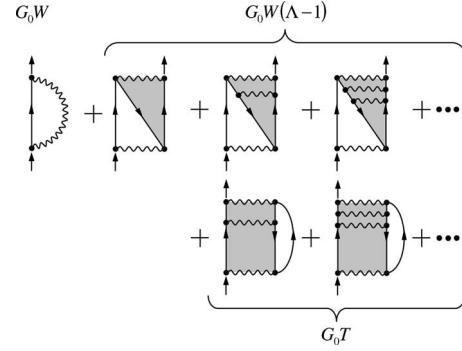


FIG. 4. Feynman diagrams of the Hedin self-energy diagrammatic expansion taken into consideration within the $G_0W\Lambda\&T$ ladder approximation. The wiggly lines signify the dynamically screened Coulomb interaction W . The solid lines with arrows represent the zeroth-order Green’s function G_0 . The shaded areas indicate the vertex Λ and the scattering amplitude T evaluated in the local approximation.

$\Lambda_X(q)$. This means that one can reach a self-consistency between \mathcal{G}_X and W which, on the one hand, contains local-field corrections provided by \mathcal{G}_X and, on the other hand, determines this local-field factor through \widetilde{W} . In this case the constant $A_{X:sc} = 0.1622$ (sc denotes self-consistent) becomes slightly smaller than that found with W_0 (see Fig. 2 and note the proximity of the “NC” and “NC_{sc}” factors \mathcal{G}_X to \mathcal{G}_- at small values of $|\mathbf{Q}|$).

Second, with the use of such a self-consistent local-field factor the leading term of the self-energy can be evaluated within the $G_0W\Lambda$ approximation with $\Lambda_X(q)$. At that, the additional term Σ^T should also be expressed in terms of the sc factor \mathcal{G}_X . The resulting self-energy represents a sum of an infinite number of ladder diagrams shown in Fig. 4. Thus, within such an approximation, one can include consistently multiple electron-hole scattering effects both in the screened interaction and in the self-energy.

In the case of the approximation of Ref. 22 the coefficient $A_{X:sc} = \frac{2}{3}A_X$, which allows one to reasonably estimate the considered diagrams contribution in the entire metallic density range.²¹ The local-field factor $\mathcal{G}_{X:sc} = W_0(\mathbf{q}, 0)[3v_c(|\mathbf{q}|)]^{-1}$ is shown in Fig. 2 as the “ZCE_{2/3}” curve. As is seen from the inset of the figure, at small momentum, such a factor $\mathcal{G}_{X:sc}$ is quite close to $\mathcal{G}_+^{\text{CDOP}}$. Thus, the “ZCE_{2/3}” factor provides a way to include real band-structure effects, when one models local-field corrections in *ab initio* calculations of screening properties.³⁸

7. Generalized G_0W_0 approximation

A study of the self-energy as the integral over the e-h scattering amplitude shown in Fig. 3 can also rest on an expansion in terms of the bare Coulomb interaction (see, e.g., Ref. 3). In this case, the integral can be considered as vertex corrections to the Hartree-Fock self-energy. A specific example is the approach proposed in Ref. 2. In this approach called “mother-of-all GW approximations” in Ref. 25 or “generalized GW approximation” in Ref. 5, there are three types of the irreducible e-h vertex. Each of them determines

the corresponding scattering e-h amplitude. Within the local approximation these amplitudes read as

$$T^{(\pm)}(q) = \frac{T_0^{(\pm)}(q)}{1 + P_0(q)T_0^{(\pm)}(q)}, \quad (23)$$

$$T^{(t)}(q) = \frac{T_0^{(t)}(q)}{1 + \frac{1}{2}P_0(q)T_0^{(t)}(q)}. \quad (24)$$

Thus one can take into consideration the contributions of the charge (+), the longitudinal spin (−), and the transverse spin (*t*) decay channels. Definition of $T_0^{(\pm)}$ and $T_0^{(t)}$ is based on a suggestion in the spirit of the approach of Ref. 15 that these irreducible vertices can be identified² with the local-field factors through $T_0^{(+)}(q) = -v_c(|\mathbf{q}|)[1 - \mathcal{G}_+(q)]$, $T_0^{(-)}(q) = v_c(|\mathbf{q}|)\mathcal{G}_-(q)$, and $T_0^{(t)}(q) = v_c(|\mathbf{q}|)\mathcal{G}_t(q)$.

Considering the integral over the scattering amplitudes [Eqs. (23) and (24)] as an additional term with respect to the Hartree-Fock self-energy, $T^{(\pm)}$ and $T^{(t)}$ should start, at least, from the second order in v_c to avoid double counting. As a result, again, owing to the local approximation, we arrive at the G_0W_0 expression (7) for the self-energy, where instead of W_0 one has the so-called effective *electron-electron* interaction^{2,5,15}

$$V_{\text{eff}}(q) = v_c(|\mathbf{q}|) + \{v_c(|\mathbf{q}|)[1 - \mathcal{G}_+(q)]\}^2 R_d(q) + \{v_c(|\mathbf{q}|)\mathcal{G}_-(q)\}^2 R_{S_z^+}(q) + \{v_c(|\mathbf{q}|)\mathcal{G}_t(q)\}^2 R_{S_x^+}(q), \quad (25)$$

with

$$R_{S_x^+}(q) = \frac{\frac{1}{2}P_0(q)}{1 + v_c(|\mathbf{q}|)\mathcal{G}_t(q)\frac{1}{2}P_0(q)} \quad (26)$$

being the transverse spin-response function.²

To compare this approach with those considered above, we modify the mentioned self-energy by rewriting interaction (25) as $V_{\text{eff}}(q) = W(q)\Lambda'(q) + V_m(q)$ with W of Eq. (18), $\Lambda' = 1 + [\Lambda - 1][2 - \mathcal{G}_+]$, and

$$V_m(q) = 3 \frac{v_c^2(|\mathbf{q}|)\mathcal{G}_-^2(q)P_0(q)}{1 + v_c(|\mathbf{q}|)\mathcal{G}_-(q)P_0(q)}, \quad (27)$$

where the equivalence $\mathcal{G}_t(q) = 2\mathcal{G}_-(q)$ for the paramagnetic state is implied. Thus we split up the self-energy into the contribution of charge fluctuations Σ^d having the $G_0W\Lambda$ -like formula and the contribution of spin fluctuations Σ^m given by the G_0W_0 -like expression. Such a splitting allows us to analyze these contributions separately.

The interaction (25) can be also rewritten²⁵ as $V_{\text{eff}}(q) = W_{e-t}(q)\Lambda(q) + V_{\text{LAD}}(q)$, where $V_{\text{LAD}}(q) = V_d(q) + V_m(q)$ with

$$V_d(q) = \frac{v_c^2(|\mathbf{q}|)\mathcal{G}_+^2(q)P_0(q)}{1 + v_c(|\mathbf{q}|)\mathcal{G}_+(q)P_0(q)}. \quad (28)$$

As a result, we reveal here that, within an approach in which the spin-symmetric and spin-antisymmetric local-field factors are equal (for example, \mathcal{G}_X as in the first-order perturbation theory), the interaction V_{LAD} represents $T(q)$ of Eq. (20) starting from the second order and not from the third one.

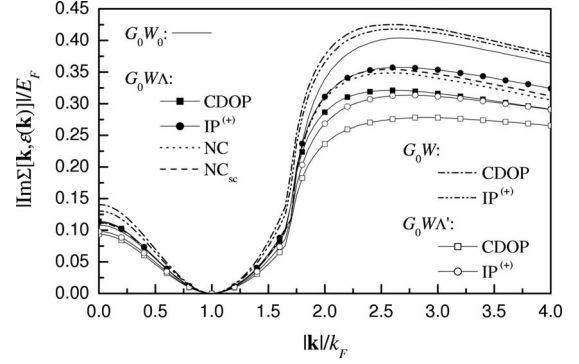


FIG. 5. The absolute value of the imaginary part of the self-energy (the density channel term) as a function of momentum $|\mathbf{k}|/k_F$ evaluated at $\omega = \epsilon(\mathbf{k})$ within the considered approaches.

This means that the scattering amplitude [Eq. (20)] contains three parts coming from the contribution of spin fluctuations and one part—from charge fluctuations. Together with W_{e-t} , as it used in the $G_0W\Lambda$ & T ladder approximation, such an amplitude gives an interaction similar to that proposed in Ref. 25, where it is considered as a more correct interaction than V_{eff} containing the term $W_{e-t}\Lambda = W\Lambda^2$.

8. Numerical results and comparison

In order to address the question of what factors mainly affect quasiparticle properties upon moving from one approach to another, we have performed extensive calculations of the quasiparticle self-energy and the quasiparticle lifetime, defined as³⁹

$$\tau_{\mathbf{k}}^{-1} = 2Z_{\mathbf{k}}|\text{Im } \Sigma[\mathbf{k}, \epsilon(\mathbf{k})]|, \quad (29)$$

at $r_s = 2.07$ that corresponds to the case of aluminum. In Eq. (29), $Z_{\mathbf{k}}$ is the renormalization constant

$$Z_{\mathbf{k}} = \left[1 - \left. \frac{\partial \text{Re } \Sigma(\mathbf{k}, \omega)}{\partial \omega} \right|_{\omega = \epsilon(\mathbf{k})} \right]^{-1}, \quad (30)$$

which determines the spectral weight of the quasiparticle, and $\epsilon(\mathbf{k}) = \mathbf{k}^2/2$ being the noninteracting energy. First, we examine the imaginary part of the self-energy at the four-momentum $[\mathbf{k}, \epsilon(\mathbf{k})]$.

In Fig. 5, we show the contribution of charge-density fluctuations to $\text{Im } \Sigma[\mathbf{k}, \epsilon(\mathbf{k})]$ in units of the Fermi energy E_F as a function of momentum. The contribution was calculated without (the G_0W_0 approximation) and with the inclusion of the local-field corrections. As is evident from the figure, compared to the G_0W_0 approximation, the quantity of interest becomes greater within the G_0W approximation. It is caused by the fact that the inclusion of the local-field corrections enhances the density response. Within the $G_0W\Lambda$ approach, in the region of excitations of single e-h pairs, this enhancement is almost totally reduced by inserting the vertex corrections, i.e., by replacing W by the electron-test charge screened interaction W_{e-t} that provides an additional XC interaction of a test charge with electrons in the Fermi sea. In the energy region, where a quasiparticle can decay into plasmons, this replacement ends up with a decrease in values of

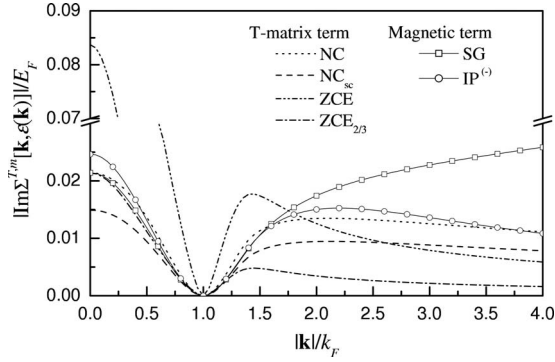


FIG. 6. The absolute value of the imaginary part of the T -matrix contribution Σ^T and the magnetic channel term Σ^m as a function of momentum $|\mathbf{k}|/k_F$ evaluated at $\omega = \epsilon(\mathbf{k})$ with different local-field corrections.

$|\text{Im } \Sigma[\mathbf{k}, \epsilon(\mathbf{k})]|$ even with respect to the G_0W_0 curve. It is caused by narrowing of plasmon peaks in ω -dependence of the imaginary part of the self-energy (see, e.g., Ref. 40). Note that this decrease is rather sensitive to the form taken for the factor \mathcal{G}_+ : Stronger local XC fields (see Fig. 2) cause the $G_0W(\Lambda-1)$ term to be larger.

The $G_0W\Lambda'$ formula yields an even larger decrease in $|\text{Im } \Sigma[\mathbf{k}, \epsilon(\mathbf{k})]|$ both in the single e-h pair excitation region and in the plasmon emission one. It can be explained by the fact that in this case the term additional to the G_0W self-energy is characterized by $[\Lambda'-1]=[\Lambda-1][2-\mathcal{G}_+]$. This means that in comparison with the $G_0W\Lambda$ case the term lowering the G_0W curve goes up by a factor of $[2-\mathcal{G}_+]$.

In Fig. 6, we show the imaginary part of Σ^T and Σ^m . Considering the T -matrix contribution first, one can see that this term is quite sensitive²¹ to the form chosen for the factor \mathcal{G}_X . Actually, small changes in \mathcal{G}_X , as it occurs when we use the “NC_{sc}” factor in place of the “NC” one (see Fig. 2), result in visible decreasing $|\text{Im } \Sigma^T|$ practically at any momentum $|\mathbf{k}|$, except for the vicinity of k_F . In this vicinity the “NC” and “NC_{sc}” results are very close both to each other and to the “ZCE_{2/3}” curve. This is important because in contrast to the local interaction [Eq. (21)] the approximation $\widetilde{W}(q) = 2W(\mathbf{q}, 0)/3$ makes *ab initio* calculations of the T -matrix contribution feasible.⁴¹ The use of the local interaction of Ref. 22 (see the “ZCE” curve) yields the T -matrix contribution that differs significantly in value and behavior.

As distinct from Σ^T starting from the third order in $\widetilde{W} = 2v_c\mathcal{G}_X$, the magnetic term Σ^m starts from the second order in $v_c\mathcal{G}_-$ [see Eq. (27)]. At that, Σ^T including the contribution of spin fluctuations and a fraction of the charge channel contribution has the factor of 4, whereas Σ^m accounting for the magnetic channel term only is armed with the factor of 3. Nevertheless, in spite of these differences, the “NC” and “IP⁽⁻⁾” terms are of the same magnitude and demonstrate similar behavior. The deviation of the magnetic term with the different local-field corrections becomes particularly evident only at $|\mathbf{k}| > 2.0k_F$, i.e., far in the plasmon emission region.

Now we consider the quasiparticle lifetime [Eq. (29)]. The obtained results are shown in Fig. 7 for several approaches. In the figure, we plot the lifetime as a function of the exciting energy $(E-E_F)$ ranging from zero to 3.5 eV (the

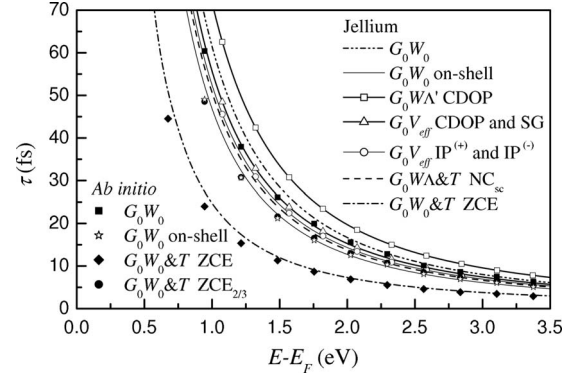


FIG. 7. The electron lifetime τ as a function of the exciting energy $E-E_F$.

range of $|\mathbf{k}|/k_F$ from 1.0 to ~ 1.14). In order to give a possibility to easily compare and reproduce the presented curves, we take into consideration the fact that, within this exciting-energy range, the scaled lifetime $\tau \times (E-E_F)^2$ demonstrates nearly linear behavior in $(E-E_F)$ for all the considered approaches. This means that one can use the linear fit

$$\tau \times (E-E_F)^2 = D + S \times (E-E_F) \quad (31)$$

to reproduce such a behavior. Table II contains our detailed results on the scaled lifetime linear fitting in the mentioned energy range. Here, as a reference mark, one can use the Quinn-Ferrell formula⁴²

$$\tau_{\text{QF}} \times (E-E_F)^2 = 36(3\pi^2/2)^{-1/3} r_s^{-5/2}$$

that gives $D_{\text{QF}} = 42.6932 \text{ fs} \cdot \text{eV}^2$ at $r_s = 2.07$.

In Table II, the renormalization constant $Z_{\mathbf{k}}$ of Eq. (30) at k_F (denoted as Z_F) is also presented. Here, as a reference mark, we can regard the constant $Z_F^{\text{GZ}} = 0.745$ found for $r_s = 2.07$ within the parametrization⁴³ of the momentum distribution⁴⁴ of the uniform electron gas in its Fermi-liquid regime. In the considered energy range, $Z_{\mathbf{k}}$ varies slightly with the momentum \mathbf{k} . This means that, in order to obtain the *on-shell* scaled lifetime [with $Z_{\mathbf{k}} = 1$ in Eq. (29)], one can multiply the presented D and S by the corresponding Z_F .

As follows from Table II, taking the XC effects into account within the G_0W approach results in shortening the lifetime in comparison with that in the G_0W_0 case, in spite of decreasing Z_F . It is caused by the increase in $|\text{Im } \Sigma|$ (see Fig. 5). As regards the $G_0W\Lambda$ case, one can see that this approximation depends weakly on the form chosen for the spin-symmetric local-field factor (the ratio R clearly shows that the parameter D changes within $\sim 2\%$) and gives results close to those in the G_0W_0 approximation (see also Refs. 27, 40, and 45). That is the reason why in Fig. 7 we do not show the lifetimes obtained within the $G_0W\Lambda$ approximation.

A somewhat different situation is observed in the case of the $G_0W_0\&T$ approximation. In comparison with the G_0W_0 lifetime, due to the inclusion of the T matrix, τ becomes noticeably shorter. The maximum lifetime shortening corresponds to the approach of Ref. 22 (see the “ZCE” case in Table II and in Fig. 7). Note that the smallest Z_F is obtained also in this case, while the use of the “ZCE_{2/3}” self-

TABLE II. Parameters D and S of the linear fitting [Eq. (31)] of the scaled lifetime and the renormalization constant Z_F at the Fermi wave vector k_F evaluated in the considered approaches with the use of different LF corrections. R represents the ratio between D in the G_0W_0 approach and this parameter in the corresponding approach.

Approach	LF corrections	D (fs · eV ²)	S (fs · eV)	Z_F	R
G_0W_0	None	56.2713	5.3819	0.76	1.00
G_0W	CDOP	47.8690	4.0252	0.74	1.18
	IP ⁽⁺⁾	50.8109	4.4686	0.75	1.11
$G_0W\Lambda$	CDOP	57.7073	5.7088	0.77	0.98
	IP ⁽⁺⁾	56.0002	5.3767	0.77	1.01
	NC	56.7122	5.5110	0.77	0.99
	NC _{sc}	56.5789	5.4832	0.77	1.00
$G_0W_0\&T$	ZCE	19.8410	4.8361	0.73	2.84
	ZCE _{2/3}	40.8488	5.3854	0.76	1.38
	NC	42.1833	4.2696	0.75	1.33
	NC _{sc}	45.8245	4.5450	0.75	1.23
$G_0W\Lambda\&T$	NC _{sc}	46.0464	4.6175	0.76	1.22
$G_0W\Lambda'$	CDOP	64.7112	7.1842	0.79	0.87
	IP ⁽⁺⁾	60.9492	6.3502	0.78	0.92
G_0V_{eff}	CDOP and SG	50.0421	5.6466	0.78	1.13
	IP ⁽⁺⁾ and IP ⁽⁻⁾	47.2844	5.1230	0.77	1.19

consistent local interaction yields the renormalization constant that is the same as in the G_0W_0 approximation.

The main factor which in the considered energy range governs a magnitude of the T -matrix contribution and the magnetic term is the long-wave behavior of the local-field factor (see inset of Fig. 2). The point is that after an integration over angles in the formula defining the imaginary part of Σ^T or Σ^m the resulting $|\mathbf{q}|$ -dependent integrand has a maximum at $\sim 0.25k_F$ and $\sim 0.30k_F$ in the “SG” and “ZCE” case, respectively, or at $\sim 0.5k_F$ for the “NC_{sc}” T -matrix term. At that, integrating over momentum up to $1.0k_F$, we obtain $\sim 75\%$ of the “SG” magnetic term, $\sim 90\%$ of the “ZCE” T -matrix contribution, and $\sim 50\%$ of $|\text{Im } \Sigma^T|$ with the use of the “NC_{sc}” local-field factor. This means, first, that the shortest lifetime provided by the approach of Ref. 22 (see Fig. 7) is caused by large values of W at the small- q limit (see inset of Fig. 2, where the “ZCE” curve lies even above the \mathcal{G}_+ one). Second, in the “NC” and “NC_{sc}” cases, some overestimation of the ladder diagrams is present, because the small four-momentum transfer limit form for \mathcal{G}_X is used.

Note that using the “NC” local-field factor we arrive at the $G_0W_0\&T$ curve which coincides with the *on-shell* G_0W_0 one (the parameter $D \approx D_{\text{OF}}$). According to the results of Ref. 21, such a coincidence will occur for r_s values ranging from

2 to 56. Taking into account the small real part of the T -matrix term,²¹ we can infer that the *on-shell* G_0W_0 approximation [$Z_k=1$ in Eq. (29)] ensures the leading contribution to many-body corrections to the Hartree single-particle states and implicitly includes decay channels which are not implied by the G_0W_0 diagram.

With the use of the “NC_{sc}” local interaction, the $G_0W\Lambda\&T$ ladder approach modifies slightly the results obtained in the $G_0W_0\&T$ case. This modification makes the quasiparticle lifetime a little longer and brings Z_F to its G_0W_0 value. As compared to the corresponding $G_0W\Lambda$ results, this ladder approach shows how the T -matrix contribution expressed in terms of the screened interaction with the inclusion of exchange effects by means of \mathcal{G}_X influences the lifetime.

As is evident from Table II and Fig. 7, in the G_0V_{eff} approach the leading $G_0W\Lambda'$ term, which represents the contribution of charge fluctuations, leads to the lifetime that, in contrast to all the cases analyzed before, noticeably exceeds its G_0W_0 values. The use of the local-field factor of Eq. (13) in Λ' results in the largest Z_F among those presented in the table. Similar to the $G_0W\Lambda\&T$ case, the inclusion of the additional (magnetic) term shortens τ and reduces Z_F . However, if the reduction of the momentum distribution discontinuity is the same, the shortening of the lifetime is larger. As

a cumulative result, we can infer that in the considered exciting-energy range the $G_0W\Lambda$ & T and G_0V_{eff} approaches are similar both by values (see Fig. 7) and physics behind them. At that the $G_0W\Lambda$ & T approximation does not involve quantities, which are defined outside the scope of the approach.

B. First-principles calculations

In first-principles calculations, as a rule one starts not from the Hartree level, but from the local-density approximation (LDA). In this case the Kohn-Sham (KS) single-particle wave functions $\psi_{\mathbf{k}n}^{KS}$ and energy eigenvalues $\epsilon_{\mathbf{k}n}^{KS}$ are regarded as good approximations to the quasiparticle ones. As a consequence, the many-body correction to $\epsilon_{\mathbf{k}n}^{KS}$ is defined by the matrix element $\langle \psi_{\mathbf{k}n}^{KS} | \Delta\Sigma(\omega) | \psi_{\mathbf{k}n}^{KS} \rangle$ of the difference $\Delta\Sigma = \Sigma - v_{\text{LDA}}^{\text{xc}}$ between the self-energy and the XC potential $v_{\text{LDA}}^{\text{xc}}$ obtained in the LDA.¹⁴ This leads to the inverse lifetime [Eq. (29)] given by

$$\tau_{\mathbf{k}n}^{-1} = 2Z_{\mathbf{k}n} |\text{Im} \Delta\Sigma_{\mathbf{k}n}(\epsilon_{\mathbf{k}n}^{KS})| \quad (32)$$

with the renormalization factor [Eq. (30)] rewritten as

$$Z_{\mathbf{k}n} = \left[1 - \frac{\partial \text{Re} \Delta\Sigma_{\mathbf{k}n}(\omega)}{\partial \omega} \right]_{\omega=\epsilon_{\mathbf{k}n}^{KS}}^{-1}. \quad (33)$$

The self-energy, in turn, is expressed in terms of the zeroth-order Green's function G_0 built up from $\psi_{\mathbf{k}n}^{KS}$ and $\epsilon_{\mathbf{k}n}^{KS}$.

As follows from the above, the G_0W_0 and G_0W_0 & T approximations set bounds to the results of the majority of the considered approaches. Therefore, in this work, we perform *ab initio* calculations within these approximations only. We believe that it is well enough to accomplish our goal of comparison. Using the spectral function representation of G_0 and W_0 , one can explicitly write down the imaginary part of the G_0W_0 self-energy as¹⁴

$$\begin{aligned} \text{Im} \Sigma_{\mathbf{k}n}(\omega) = & \mp \sum_{\mathbf{q}n'} \sum_{ij} \langle \psi_{\mathbf{k}n}^{KS} \psi_{\mathbf{q}-\mathbf{k}n'}^{KS} | B_{\mathbf{q}i} \rangle \\ & \times \text{Im}[W_0]_{ij}(\mathbf{q}, \pm \epsilon_{\mathbf{q}-\mathbf{k}n'}^{KS}, \mp \omega) \\ & \times \langle B_{\mathbf{q}j} | \psi_{\mathbf{q}-\mathbf{k}n'}^{KS} \psi_{\mathbf{k}n}^{KS} \rangle \Theta(\pm \epsilon_{\mathbf{q}-\mathbf{k}n'}^{KS}, \mp \omega), \quad (34) \end{aligned}$$

where the upper (lower) sign corresponds to the exciting energy $\omega \leq E_F$ ($\omega > E_F$) and the sum over occupied (unoccupied) states. In Eq. (34), $\Theta(x)$ is the step function, $\{B_{\mathbf{k}i}(\mathbf{r})\}$ is a set of appropriate Bloch basis functions, and $[W_0]_{ij}$ are matrix elements of the RPA screened interaction in this basis. In the present work, the functions $\psi_{\mathbf{k}n}^{KS}$ and energies $\epsilon_{\mathbf{k}n}^{KS}$ are calculated by using both the linear muffin-tin orbital (LMTO) method and the plane-wave pseudopotential approach. Within the latter, the functions $B_{\mathbf{k}i}$ are plane waves with i numbering reciprocal lattice vectors, whereas within the former they are represented by products of LMTO's.⁴⁶ Having obtained the imaginary part of the self-energy, its energy-dependent real part can be found from the Hilbert transform.

In order to obtain the T -matrix contribution Σ^T , Eq. (20) is considered as a relation between the matrices $[T]_{ij}(\mathbf{q}, \omega)$,

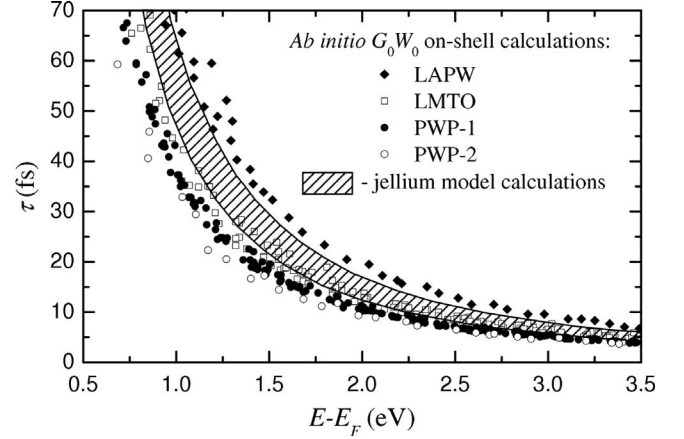


FIG. 8. The electron lifetime τ as a function of the exciting energy $E - E_F$ evaluated within the G_0W_0 on-shell approximation. The open squares represent the LMTO calculations. The solid circles depict the present calculation results obtained within the plane-wave pseudopotential approach (PWP-1). The open circles (labeled as PWP-2) correspond to results of Ref. 47. The solid diamonds represent the lifetime (some representative points) obtained in Ref. 48 with the use of the LAPW method. The shaded area bounded by two jellium-model curves “ G_0W_0 ” and “ G_0W_0 on-shell” includes jellium-model calculations (see Fig. 7).

$[\tilde{W}]_{ij}(\mathbf{q}, \omega)$, and $[P_0]_{ij}(\mathbf{q}, \omega)$ found in the basis $\{B_{\mathbf{k}i}(\mathbf{r})\}$. Further, Eq. (34) is used with $[T]_{ij}$ instead of $[W_0]_{ij}$. The main problem here is to calculate the local interaction $[\tilde{W}]_{ij}$. Taking into account the results of Sec. II A 8, we model this interaction as $[\tilde{W}]_{ij} = \frac{2}{3}[W_0]_{ij}(\mathbf{q}, 0)$. As a result, we have a possibility to include real band-structure effects into the factor \mathcal{G}_X .

Having obtained the self-energy, one can evaluate the lifetime using Eqs. (32) and (33). In order to compare the obtained results with the jellium-model curves, we average the calculated lifetime over momenta for a given exciting energy.

In Fig. 7, we show the LMTO results on momentum-averaged electron lifetimes in aluminum. Here we see that these *ab initio* results reproduce rather well the jellium-model curves. As in the jellium model, we also reveal that the *on-shell* G_0W_0 and G_0W_0 & T results practically coincide. This means that within our numerical accuracy we do not find any considerable effect caused by taking the real band structure of aluminum into account.

However, it is worth emphasizing that the lifetime values evaluated within the same approximation (e.g., the on-shell G_0W_0 one) vary with the method for band-structure calculations. As an example, in Fig. 8, we compare the LMTO results with the plane-wave pseudopotential lifetimes (calculations of the present work are marked as PWP-1, while PWP-2 labels data taken from Ref. 47) and lifetimes obtained in Ref. 48 within the linearized augmented plane-wave method (LAPW). Other published *ab initio* results (e.g., Ref. 49) correspond to the data spread plotted. As is evident from the figure, one can draw different conclusions about the role of real band-structure effects. Actually, these effects can lead to both shortening the quasiparticle lifetime

and making it longer. Nevertheless, as an analysis of the calculated scaled lifetime has shown, all the presented *ab initio* data demonstrate the free-electron-like behavior of τ as a function of the exciting energy with underlying scattering processes peculiar to jellium.

III. ELECTRON-PHONON SCATTERING

The phonon-induced lifetime broadening can be evaluated from the imaginary part of the e-ph self-energy as⁵⁰

$$\Gamma_{e-ph}(\epsilon) = 2\pi \int_0^{\omega_m} d\omega \alpha^2 F(\epsilon; \omega) \times [1 + 2n(\omega) - f(\epsilon - \omega) + f(\epsilon + \omega)]. \quad (35)$$

Here, f and n are the Fermi and Bose distribution functions, respectively, which introduce the temperature dependence of Γ_{e-ph} , and ω_m is the maximum phonon frequency. The basic quantity is the Eliashberg spectral function which measures the contribution of phonons with energy $\hbar\omega$ to the scattering of electrons:

$$\alpha^2 F(\epsilon; \omega) = \frac{1}{N(\epsilon)} \sum_{\mathbf{q}, \nu} \delta(\omega - \omega_{\mathbf{q}, \nu}) \sum_{\mathbf{k}_i, \mathbf{k}_f} |g(\mathbf{k}_i, \mathbf{k}_f, \mathbf{q}, \nu)|^2 \times \delta(\epsilon_{\mathbf{k}_i} - \epsilon) \delta(\epsilon_{\mathbf{k}_f} - \epsilon), \quad (36)$$

where $g(\mathbf{k}_i, \mathbf{k}_f, \mathbf{q}, \nu)$ is the e-ph matrix element which indicates the probability of electron scattering from initial state \mathbf{k}_i to final state \mathbf{k}_f by phonon (\mathbf{q}, ν) . The sum is carried out over initial and final electron states with energy ϵ to obtain the spectral function averaged over electron momentum. $N(\epsilon)$ is the electron density of states per atom and per spin at energy ϵ . In Eq. (36) we apply the quasielastic approximation $\delta(\epsilon_{\mathbf{k}_{i(f)}} - \epsilon \pm \omega_{\mathbf{q}, \nu}) \approx \delta(\epsilon_{\mathbf{k}_{i(f)}} - \epsilon)$, which allows to calculate Γ_{e-ph} with the same Eliashberg spectral function for both emission and absorption processes. The strength of the e-ph coupling λ is defined as a first inverse frequency moment of the spectral function:⁵⁰

$$\lambda(\epsilon) = 2 \int_0^{\omega_m} \frac{\alpha^2 F(\epsilon; \omega)}{\omega} d\omega. \quad (37)$$

The calculations were performed by using the density-functional perturbation theory^{51,52} and the PWSCF code.⁵³ The electron-ion interaction was described by a nonlocal norm-conserving pseudopotential generated following von Barth and Car.⁵³ To average the e-ph spectral function over all the initial states we used a dense mesh of up to 900 \mathbf{k} points in the irreducible Brillouin zone (IBZ). The summation over phonons (\mathbf{q}, ν) in Eq. (36) was carried out over 256 wave vectors in the irreducible part. Since the set of wave vectors sampled in the IBZ is large enough the results depend only slightly on the value of the smearing width.

We have calculated $\Gamma_{e-ph}(\epsilon)$ as a function averaged over electron momentum. Figure 9(a) shows the lifetime broadening of excited electrons at different temperatures. As one can see, $\Gamma_{e-ph}(\epsilon)$ varies slightly with energy outside the Debye energy. In particular, the variation range does not exceed 10 meV at $T=0$ and comes up to 40 meV at room temperature.

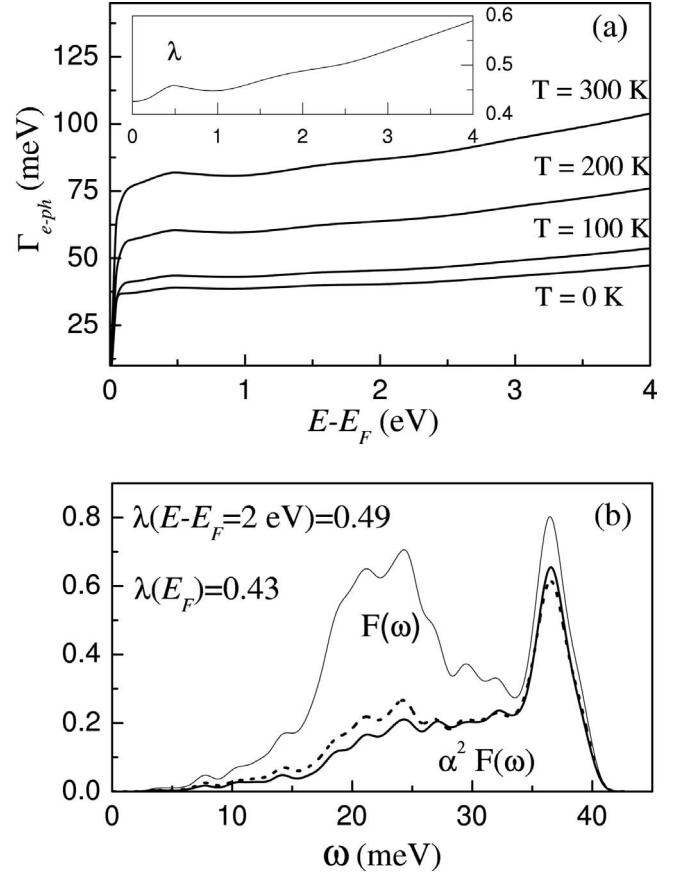


FIG. 9. (a) Phonon-induced lifetime broadening Γ_{e-ph} averaged over electron momentum as a function of exciting energy at different temperatures. The inset gives the energy dependence of the corresponding e-ph coupling parameter λ . (b) Phonon density of states $F(\omega)$ (thin line) and e-ph spectral function $\alpha^2 F(\epsilon; \omega)$ at E_F (solid line) and at $E-E_F=2$ eV (dashed line).

For exciting energies of 0.1–2.5 eV $\Gamma_{e-ph}(\epsilon) \approx 40$ meV at $T=0$ and then increases slowly with ϵ . The calculated e-ph coupling parameter $\lambda(\epsilon)$ averaged over momentum for the same energies varies between 0.44 and 0.59 [see the inset of Fig. 9(a)]. The values of λ at 0.1–2.5 eV nearly coincide with the e-ph coupling parameter evaluated at the Fermi level of Al, $\lambda(E_F)=0.43$. The temperature dependence of the e-ph contribution is evident. At room temperature, $\Gamma_{e-ph}(\epsilon)$ ranging from 66 meV to 104 meV becomes twice as much as the e-ph contribution at $T=0$.

The obtained data can also be momentum resolved to show the dependence of the e-ph coupling on the energy band. For example, Fig. 10 shows the lifetime broadening for excited electronic states in the electron bands Z_3 , Z_4 , and Z_1 (XW symmetry direction) of Al at $T=0$ K. As evident from the figure, the dependence of the e-ph contribution $\Gamma_{e-ph}(\epsilon)$ on the energy band (Z_4 or Z_1) is rather appreciable. The values at the same energy can vary, for example, by 24 meV at $\epsilon=1.3$ eV and by 5 meV at 4 eV.

Figure 9(b) presents the momentum averaged e-ph spectral function for $\epsilon=2$ eV which turns out to be very similar to $\alpha^2 F(\epsilon; \omega)$ at E_F also shown in the figure. The difference is noticeable only at low energies where the contribution of the

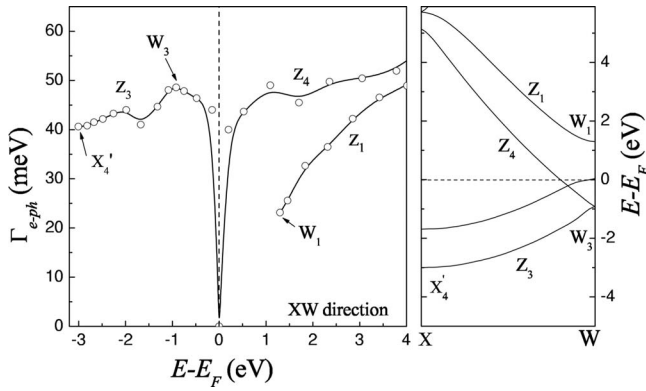


FIG. 10. Phonon-induced lifetime broadening Γ_{e-ph} as a function of exciting energy for electronic energy bands Z_3 , Z_4 , and Z_1 (XW symmetry direction of the Brillouin zone) in Al at $T=0$ K.

corresponding phonon modes increases gradually with electronic state energy. On the whole, the high-energy phonons are more involved in the scattering processes of electrons than the low-energy part of the phonon spectrum which is strongly suppressed by e-ph matrix elements not only on the Fermi surface. The same feature was also reported for other simple metals Be (Ref. 54) and Mg (Ref. 55). It is not the case for d -metals like Pd, where the phonon density of states and the Eliashberg spectral function at E_F are very similar in shape though the high-energy part of phonons is suppressed more by e-ph matrix elements than the low-energy modes.⁸

IV. DISCUSSION AND CONCLUSIONS

In this work, we have considered theoretical approaches to the quasiparticle lifetime (decay rate), which are most frequently used in the literature. We have also proposed an approach based on a variational solution to the Bethe-Salpeter equation defining the electron-hole scattering amplitude in the ladder approximation. With the screened Coulomb interaction evaluated beyond the RPA, the quasiparticle self-energy of the approach consists of the G_0W_0 part, which accounts for the leading contribution of charge-density fluctuations, and the T -matrix part, which enables one to take into consideration the contribution of spin-density fluctuations. Within the jellium model, we have demonstrated that, at exciting energies less than 3.5 eV, all the considered approaches yield rather close results on the quasiparticle lifetime in aluminum. An exception is the method that sums ladder self-energy diagrams by using the half inverse static dielectric function as an exchange part of the many-body local-field factor.

We have shown that the on-shell G_0W_0 approximation that ensures the leading contribution to many-body corrections simulates additional decay channels which are not implied by the G_0W_0 diagram. Regarding the question of how different expressions for the local-field factors influence the self-energy within the same approach, we have revealed that the approaches, which take into consideration the contribution of spin-density fluctuations, are the most sensible ones. Also, we have analyzed what effect the mentioned fluctua-

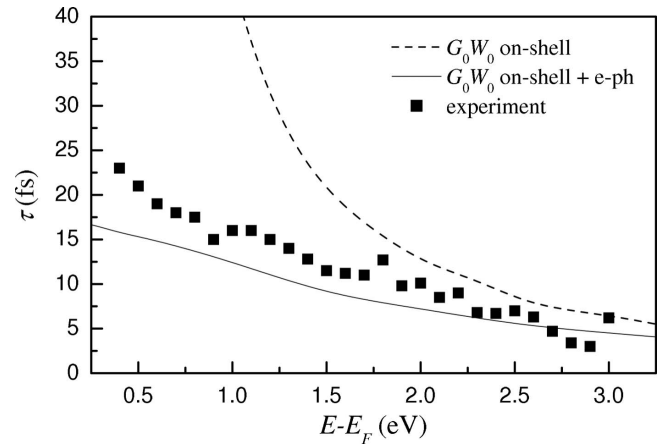


FIG. 11. The electron lifetime τ as a function of the exciting energy $E-E_F$. The dashed line represents the LMTO lifetime calculated within the on-shell G_0W_0 approximation. The solid line corresponds to the sum of the inelastic lifetime broadenings $\Gamma_{e-c}^{G_0W_0}$ and Γ_{e-ph} (at $T=0$ K). The solid squares are experimental data taken from Ref. 56.

tions produce on quasiparticle properties. We find that the more scattering processes are involved in the electron-hole interaction, the greater the renormalization constant is. At the same time, the contribution to the imaginary part of the self-energy has a trend to become smaller within the considered exciting-energy range.

We have performed *ab initio* calculations of the lifetime in aluminum with the use of both the linear muffin-tin orbital method and the plane-wave pseudopotential theory. In the first case, the *ab initio* results are similar to those obtained within the jellium model, whereas in the second case there is a decrease in lifetime values by $\sim 15\%$. By this we demonstrate the dependence of real band-structure effects on practical schemes and computational details.

Also, the phonon contribution to the lifetime has been considered. On the basis of *ab initio* calculations, we have shown that, except for the Fermi energy vicinity, the phonon-induced lifetime broadening $\Gamma_{e-ph}(\epsilon)$ averaged over momentum varies slightly with exciting energy. However, the momentum resolved e-ph contribution as a function of exciting energy exhibits different behavior depending appreciably on electron energy band. The temperature dependence of $\Gamma_{e-ph}(\epsilon)$, which becomes twice as much at room temperature as compared with the e-ph contribution at $T=0$ K, is also evident. The analysis of phonon modes participating in the scattering processes of electrons has shown that the main contribution comes from the high-energy part of the phonon spectrum whereas the low-energy phonons are strongly suppressed by e-ph matrix elements at all the exciting energies considered.

In Fig. 11, we plot the *ab initio* results as compared with time-resolved two-photon photoemission (TR-2PPE) data available.⁵⁶ As is obtained for other systems,⁵⁷ G_0W_0 lifetimes (representing here the group of the considered approaches giving close lifetime values) are longer than experimental TR-2PPE relaxation times. In the case of aluminum, at $E-E_F \lesssim 1.7$ eV, it becomes particularly evident. On the

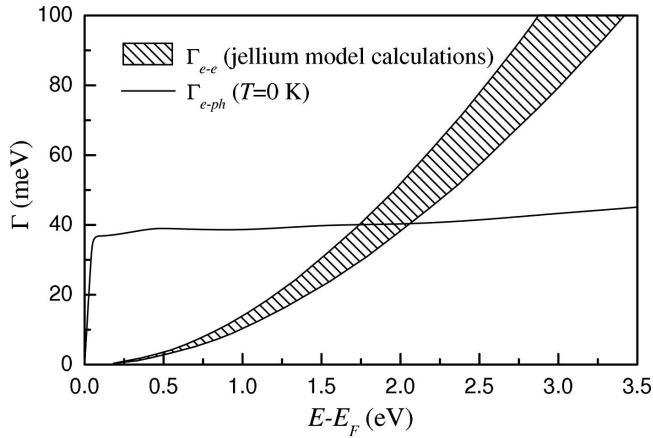


FIG. 12. The e-e (shaded area) and e-ph (solid line) contributions to the inelastic linewidth Γ_{tot} .

whole, the behavior of the TR-2PPE relaxation time is such that additional decay processes should be considered.

Taking into consideration both electron-electron and electron-phonon inelastic scattering processes, we arrive at electron lifetimes (solid line in Fig. 11) which demonstrate the energy dependence similar to that for the experimental relaxation times. Such a similarity is caused by a comparatively large e-ph contribution to the inelastic linewidth within the exciting-energy region, where the e-e contribution is rather small (see Fig. 12). As exciting energy increases, the linewidth due to the e-e scattering strengthens gradually, while $\Gamma_{\text{e-ph}}$ changes hardly. This results in considerable shortening of the quasiparticle lifetime at energies up to $E - E_F \sim 2.0$ eV and in a fairly good agreement between the experimental data and theoretical results.

However, this agreement occurs for $\Gamma_{\text{e-ph}}$ at $T=0$ K, whereas at room temperature the calculated inelastic lifetime becomes notably shorter. This fact causes certain problems in definition of the proper approach by comparing to the experimental data. As to possible transport and cascade electron contributions to the relaxation time, a rough estimation does not help either to find the theoretical approach appropriate to the case.

In conclusion, the presented comparative analysis of different approximations of many-body perturbation theory as applied to aluminum has shown that the lifetime broadening coming from inelastic electron-electron scattering varies within $\sim 25\%$ with the inclusion of scattering processes additional to those described within the G_0W_0 approximation. In comparison with the jellium model, taking the real band structure into account does not lead to any essential changes in the behavior of the lifetime as a function of exciting energy, except for some shortening. The behavior is notably changed by including the electron-phonon contribution: The total inelastic lifetime demonstrates relatively weak energy dependence and, with the phonon-induced broadening evaluated at $T=0$ K, decreases from ~ 15 fs to ~ 5 fs with exciting energy that increases from 0.5 eV to 3.0 eV.

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